



Op. 0500
Docket No. 1115

#5
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James F. McGuckin, Jr., et al

Serial No.: 10/025,506

Group Art Unit: Unknown

Filed: December 19, 2001

Examiner: Unknown

For: **DIALYSIS CATHETER**

Assistant Commissioner For Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

Date of Deposit: 7/29/02

I hereby certify that the following:

- ☒ This Certificate of Mailing
- ☒ Information Disclosure Statement
- ☒ PTO Form 1449 & copy of cited references
- ☒ Return postcard

are being deposited with the United States Postal Service first class mail on the Date of Deposit indicated above in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231.

Neil Gershon

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INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56, Applicants submit the enclosed references for the Examiner's consideration.

It is respectfully requested that the reference(s) submitted with Form PTO-1449 be considered during Examination of the above-identified application and made of record therein. A copy of the reference(s) is/are enclosed. This submission is believed to be in compliance with 37 C.F.R. §1.97 and 37 C.F.R. §1.98.

The citation of the listed item(s) is not a representation that it constitutes a complete or exhaustive listing of prior art or that it constitutes prior art. The item(s) listed is/are submitted in good faith, but is/are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner, it will assist the Examiner in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

☐ This information disclosure statement is being filed within three (3) months of the filing date of this application.

☐ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.

☒ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action in connection with this case.

☐ Enclosed is a certificate under 37 C.F.R. §1.97 (e)(i).

☐ Enclosed is a petition under 37 C.F.R. §1.97 (d)

☐ Please charge the petition fee of \$130.00 required under 37 C.F.R. §1.17 (i)(1) to Deposit Account No. 501567


☐ As set forth in 37 C.F.R. §1.97 (c), to the best of Applicant(s) knowledge, this information disclosure statement is being filed before either the mailing of a final action under 37 C.F.R. §1.113 or the mailing of a notice of allowance under 37 C.F.R. §1.113, and is accompanied by the \$240.00 fee as provided for in 37 C.F.R. §1.17(p).

☐ Please charge the \$240.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 501567.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 37 C.F.R. §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 501567. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend time as required and charge Deposit Account No. 501567 therefor. **TWO COPIES OF THIS SHEET ARE ENCLOSED.**

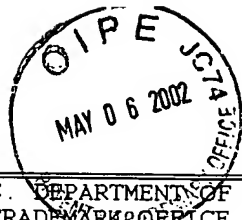
Early and favorable consideration of the case is respectfully requested.

Dated: 7/29/02



Neil D. Gershon
Registration No. 32,225
Attorney for the Applicants

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2023 Summer St.
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Stamford, CT. 06905



Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 1115	SERIAL NO. 10/025,506
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		
APPLICANT James F. McGuckin, Jr., et al		
FILING DATE December 19, 2001		GROUP Art Unit: Unknown

U.S. PATENT DOCUMENT

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3 9 3 8 5 3 0	02/17/76	Santomieri			
	4 1 3 4 4 0 2	01/16/79	Mahurkar			
	4 4 5 1 2 5 2	05/29/84	Martin			
	4 4 9 3 6 9 6	01/15/85	Uldall			
	4 5 6 8 3 2 9	02/04/86	Mahurkar			
	4 5 8 3 9 6 8	04/22/86	Mahurkar			
	4 6 1 9 6 4 3	10/28/86	Bai			
	4 6 2 3 3 2 7	11/18/86	Mahurkar			
	4 6 4 3 7 1 1	02/17/87	Bates			
	4 6 8 2 9 7 8	07/28/87	Martin			
	4 7 3 8 6 6 7	04/19/88	Galloway			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	0 4 4 0 9 9 2	08/14/91	EPO			YES	NO
WO	0 1 7 6 6 7 7	10/18/01	PCT				

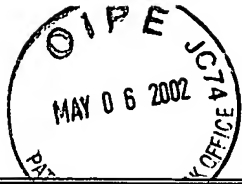
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Journal of Vascular and Interventional Radiology 12:376-378 (2001), "Sheathless Technique of Ash Split-Cath Insertion", Aalpen Patel, MD, Stephen Hofkin, MD, David Ball, DO, Gary Cohen, MD and Douglas C. Smith, MD.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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	5 2 0 7 6 4 8	05/04/93	Gross			
	5 2 0 7 6 5 0	05/04/93	Martin			
	5 2 0 9 7 2 3	05/11/93	Twardowski, et al			
	5 2 1 5 5 2 7	06/01/93	Beck, et al			
	5 2 2 1 2 5 5	06/22/93	Mahurkar, et al			
	5 2 2 1 2 5 6	06/22/93	Mahurkar			
	5 2 4 6 4 3 0	09/21/93	MacFarlane			
	5 2 7 3 5 2 7	12/28/93	Schatz, et al			
	5 3 4 6 4 7 1	09/13/94	Raulerson			
	5 3 4 8 5 3 6	09/20/94	Young, et al			
	5 3 5 0 3 5 8	09/27/94	Martin			

FOREIGN PATENT DOCUMENTS

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						YES	NO

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	5 3 6 0 3 9 7	11/01/94	Pinchuk			
	5 3 7 4 2 4 5	12/20/94	Mahurkar			
	5 3 7 8 2 3 0	01/03/95	Mahurkar			
	5 3 9 5 3 1 6	03/07/95	Martin			
	5 4 0 3 2 9 1	04/04/95	Abrahamson			
	5 4 0 5 3 4 1	04/11/95	Martin			
	5 4 7 2 4 1 7	12/05/95	Martin, et al			
	5 4 8 0 3 8 0	01/02/96	Martin			
	5 4 8 6 1 5 9	01/23/96	Mahurkar			
	5 4 8 9 2 7 8	02/06/96	Abrahamson			
	5 5 0 9 9 0 0	04/23/96	Kirkman			

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